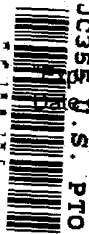


07-02-01



Express Mail" mailing label number EL 756225030 US.
 Date of Deposit June 29, 2001

1011 U.S. PTO
 09/896760
 06/29/01
 Case No. 10790/4
 Case No. 10790/3

PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Conklin et al. for : Method and System for Enhanced Distribution of Financial Instruments. Enclosed are:

- ☒ 9 sheet(s) of drawings, 73 pages of application (including title page), and the following Appendices : ____.
- ☒ Declaration.
- ☐ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment cover sheet, check for \$40 and Assignment of the invention to : Incapital Holdings LLC.
- ☐ ____.

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	66-20	46
Indep. Claims	4-3	1
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 355
x\$9=	414
x\$40=	\$ 40
+\$135=	\$
Total	\$ 809

Other Than Small Entity	
Rate	Fee
	\$ 710
x\$18=	
x\$80=	
+\$270=	\$
Total	

Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.

A check in the amount of \$: 809 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR § 1.16.

☒ Any patent application processing fees under 37 CFR § 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.

☐ Any patent application processing fees under 37 CFR § 1.17.

☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

June 29, 2001

Date

Darin E. Bartholomew
 BRINKS HOFER GILSON & LIONE
 Registration No. 36,444